



**Serial No.:** 09/866,034

**Filed:** May 25, 2001

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

By: Mark T. Kresnak

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone: (650) 225-4461



09157

PATENT TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 1647
Botstein et al.	Examiner: Spector, L.
Serial No.: 09/866,034	
Filed: May 25, 2001	
For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	

**PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. §§ 2182 and 2457) -  
INVENTORS EMPLOYED BY AN ORGANIZATION**

Assistant Commissioner of Patents  
ATTN: Licensing and Review  
Washington, D.C. 20231

RECEIVED  
OCT - 8 2002  
LICENSING & REVIEW

Sir:

We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

1. We made and conceived (a) the invention described and claimed in the above captioned U.S. patent application Serial No. 09/866,034 filed in the United States of America on May 25, 2001 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");

2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

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3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

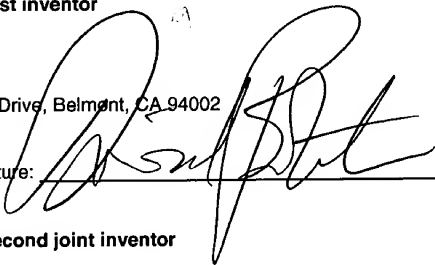
6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**Full name of first inventor**

David Botstein

2539 Somerset Drive, Belmont, CA 94002

Citizenship: US

Inventor's signature: 

Date: 9-4-02

**Full name of second joint inventor**

Luc Desnoyers

2050 Stockton Street, San Francisco, CA 94133

Citizenship: CA

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Serial No.:** 09/866,034

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3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**Full name of first inventor**

David Botstein  
2539 Somerset Drive, Belmont, CA 94002  
Citizenship: US  
Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of second joint inventor**

Luc Desnoyers  
2050 Stockton Street, San Francisco, CA 94133  
Citizenship: CA  
Inventor's signature: *Luc Desnoyers*

Date: 09/04/2002

**Serial No.:** 09/866,034

**Filed:** May 25, 2001

**Full name of third joint inventor**

Napoleone Ferrara

2090 Pacific Avenue #704, San Francisco, CA 94109

Citizenship: US

Inventor's signature: N Ferrara

Date: 9/25/02

**Full name of fourth joint inventor**

Sherman Fong

19 Basinside Way, Alameda, CA 94502

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of fifth joint inventor**

Wei-Qiang Gao

3025 South Court, Palo Alto, CA 94306

Citizenship: CN

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of sixth joint inventor**

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of seventh joint inventor**

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of eighth joint inventor**

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

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Napoleone Ferrara

2090 Pacific Avenue #704, San Francisco, CA 94109

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Sherman Fong

19 Basinside Way, Alameda, CA 94502

Citizenship: US

Inventor's signature: Sherman Fong

Date: 9/4/02

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3025 South Court, Palo Alto, CA 94306

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1 Debbie Lane, Belmont, CA 94002

Citizenship: US

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14 Tewsley Place, Zitobicoke, ONT M9P 1N7

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Citizenship: ~~CA~~ US

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Date: 9/3/02

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Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of sixth joint inventor**

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: *A. Goddard*

Date: *Sept. 4, 2002*

**Full name of seventh joint inventor**

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of eighth joint inventor**

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14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: \_\_\_\_\_

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Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature:  \_\_\_\_\_

Date: 8/19/2003

**Full name of eighth joint inventor**

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

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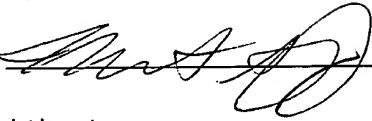
**Filed:** May 25, 2001

**Full name of ninth joint inventor**

Margaret Ann Roy

2960 Webster Street #4, San Francisco, CA 94123

Citizenship: US

Inventor's signature: 

Date: 10 Sept 2001

**Full name of tenth joint inventor**

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of eleventh joint inventor**

Daniel Tumas

3 Rae Avenue, Orinda, CA 94563

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of twelfth joint inventor**

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of thirteenth joint inventor**

William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

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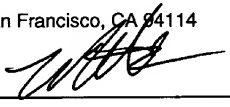
Date: \_\_\_\_\_

**Full name of tenth joint inventor**

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature:  \_\_\_\_\_

Date: Sep 4 2002

**Full name of eleventh joint inventor**

Daniel Tumas

3 Rae Avenue, Orinda, CA 94563

Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

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128 Corliss Drive, Moraga, CA 94556

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35 Southdown Court, Hillsborough, CA 94010

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Citizenship: US

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Date: 9/4/2002

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Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: Colin K. Watanabe

Date: 9/5/2002

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William I. Wood

35 Southdown Court, Hillsborough, CA 94010

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Date: \_\_\_\_\_

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William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: William I. Wood

Date: 9/9/02



## APPENDIX A

Application No.	Application Date	File No
10/033167	27Dec2001	P2930R1C10
10/032990	27Dec2001	P2930R1C11
10/033246	27Dec2001	P2930R1C12
10/213535	07Aug2002	P2930R1C13
10/033244	27Dec2001	P2930R1C2
10/032996	27Dec2001	P2930R1C3
10/033396	27Dec2001	P2930R1C4
10/033435	27Dec2001	P2930R1C5
10/033301	27Dec2001	P2930R1C6
10/033245	27Dec2001	P2930R1C7
10/033326	27Dec2001	P2930R1C8
10/033223	27Dec2001	P2930R1C9